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07/17/2008

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EXAMINER

DAVIS, ZACHARY A

ART UNIT

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 09/741,668	<b>Applicant(s)</b> NONAKA ET AL.	
	<b>Examiner</b> Zachary A. Davis	<b>Art Unit</b> 2137	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 15 April 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-3,5,7-11,15-22 and 57 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3,5,7-11,15-22 and 57 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 17 March 2008 has been entered.

2. By the above submission, Claims 1-3, 5, 7-11, 15-22, and 57 have been amended. Claims 4 and 6 have been canceled. No new claims have been added. Claims 1-3, 5, 7-11, 15-22, and 57 are currently pending in the present application.

### ***Response to Arguments***

3. Applicant's arguments with respect to claims 1-3, 5, 7-11, 15-22, and 57 have been considered but are moot in view of the new grounds of rejection.

4. The Examiner notes the assertion that "Applicants do not concede" that one of the cited passages of Schneier discloses public key encryption (page 14 of the present response, referring to the previous citation of Schneier, column 10, lines 27-56); however, the Examiner fails to appreciate this assertion. The cited portion of Schneier

explicitly includes section headers of “Encryption with a Public Key” and “Signing with a Public Key”, and the cited portion also clearly discloses creating signature data and verifying signature data (see column 10, lines 45-56, where a message, which was created, for example, by using a hash, see column 9, lines 40-61, is signed, thereby creating signature data, and where the signature is then verified). The Examiner further notes that Schneier explicitly discloses that using cryptographic protocols (e.g. hash functions, digital signatures, etc.) to ensure the integrity and security of messages is well known in the art.

### ***Claim Objections***

5. Claim 57 is objected to because of the following informalities:

In Claim 57, line 21, it appears that a semicolon should be inserted at the end of the newly added limitation “generating hash values of the content data, the content key data, and the usage control data”.

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 112***

6. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

7. Claims 5 and 15 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 5 and 15 each depend from canceled Claim 4. For purposes of interpreting the prior art, it is assumed that the claims are now intended to depend from independent Claim 1.

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 1-3, 5, 7-11, and 15-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schneier et al, US Patent 5768382, in view of Christiano, US Patent 5671412, Stefik et al, US Patent 5629980, and Peinado et al, US Patent 7103574.

In reference to Claim 1, Schneier discloses an apparatus within a tamper-resistant circuit module (column 8, lines 17-27; column 11, lines 31-37) including a first bus (see Figures 4C-4H); an arithmetic processing circuit (Figure 4C, CPU 302); a storage circuit (Figure 4C, ROM 304); a second bus (see Figures 4C-4H); an interface circuit (see Figure 4C); an encryption processing circuit (Figure 4B-4C, encryption/decryption module 28; also column 11, lines 41-46); a hash value generating

circuit that generates hash values of content data (column 9, lines 40-61; column 17, lines 46-50); a public key encryption circuit (column 10, lines 27-56) that creates signature data using hash values and verifies the integrity of the signature data (column 10, lines 41-56; column 9, lines 40-61); a common key encryption circuit (column 9, line 62-column 10, line 11); and an external bus interface circuit (Figure 4C, I/O 312). However, Schneier does not explicitly disclose determining a mode based on a handling policy and creating log data, nor does Schneier disclose creating usage control status data or controlling the use of the content data.

Christiano discloses determining a usage or purchase mode based on a usage license policy (column 6, line 60-column 7, line 30) and logging data (column 18, lines 53-61), where the log data includes a unique identifier of content data (column 18, lines 53-61), discount information (column 17, lines 35-54, and column 18, lines 53-61), and tracing information (column 18, lines 53-61, and column 6, line 60-column 7, line 46). Christiano further discloses creating usage control status data (column 10, lines 53-57) that includes a content identification (column 10, lines 27-33), the purchase mode (column 10, line 53-column 11, line 11), identification of a circuit module (column 10, lines 33-36), and a user identification (column 10, lines 53-57; column 6, lines 64-column 7, line 1; column 4, line 61-column 5, line 2). Christiano additionally discloses controlling use of content data (column 10, line 64-column 11, line 3) and a usage monitor that monitors the usage control policy and status data to ensure that content data is properly used based on a license (column 6, line 60-column 7, line 46; column 10, lines 53-57). Therefore, it would have been obvious to one of ordinary skill in the art

at the time the invention was made to modify the apparatus of Schneier by including the usage policies and licensing as disclosed by Christiano, in order to provide a variety of options and flexibility in controlling usage of licensed data (see Christiano, column 3, lines 12-19).

Although Schneier and Christiano disclose a purchase mode (see Christiano, column 10, line 53-column 11, line 11), neither Schneier nor Christiano explicitly discloses that the purchase mode is determined from one or more purchase mode options, each having a different level of restriction imposed on a playback operation. Stefik discloses a system for controlling distribution and use of digital works that includes a plurality of purchase modes (see Stefik, column 17, line 63-column 26, line 35; more specifically, see column 17, line 64-column 18, line 6; column 19, lines 20-31, describing limitations on number of copies, fees, and times; column 19, lines 46-57, where rights defining playing and printing of a work are described; column 21, lines 10-24, defining limitations on a number of copies to be made; see also column 43, line 45-column 50, line 14, defining various use scenarios) each having a different level of restriction imposed on a playback operation (see again column 17, line 63-column 26, line 35, for a variety of rights, and column 43, line 45-column 50, line 14, for a variety of use scenarios; see also column 36, lines 30-64, where limitations are checked for a playback operation). Stefik also discloses generating log data (column 34, lines 25-34), where the data can include a unique identifier of the content data (column 9, lines 56-66, and Figure 7), discount information (Stefik, column 23, line 44-column 25, line 8), and tracing information (column 48, lines 20-26), and the data can further indicate a

result of the determined mode (see again column 17, line 63-column 26, line 35, and column 43, line 45-column 50, line 14, noting, in particular, for example, column 45, lines 33-47). Stefik additionally discloses a public key encryption circuit (column 26, line 65-column 27, line 9) that creates signature data (column 51, lines 9-12), and also discloses a common key encryption module (column 42, lines 6-21). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the apparatus of Schneier and Christiano by including a plurality of purchase modes each imposing different restrictions on playback, in order to allow the owner of a digital work to attach to the work usage rights defining how the work may be used and/or distributed (see Stefik, column 3, lines 51-61).

Further, although Schneier, Christiano, and Stefik disclose a hash value generating circuit that generates a hash value of content data (Schneier, column 9, lines 40-61; column 17, lines 46-50), none of Schneier, Christiano, or Stefik explicitly discloses also generating a hash value of the key data and usage control policy data. Peinado discloses a system in which a license for digital content includes the content key and usage control policy data (column 2, lines 57-64) and that has a hash value generating circuit that generates a hash value of the content key and policy data and a public key encryption circuit that creates and verifies signature data using the hash (column 2, line 65; column 20, line 38-column 21, line 12). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify the apparatus of Schneier, Christiano, and Stefik, to also compute a hash and generate a signature based on the key and usage policy data, in order to maintain



the integrity of those items and prevent alteration of the key or usage policy data and/or prevent unauthorized decryption of content (see Peinado, column 25, lines 53-59) as is well known in the art (see also Schneier, column 9, line 40-column 10, line 67).

In reference to Claim 2, Schneier as modified above further discloses a second interface circuit and that the first bus includes a third bus and a fourth bus (see Schneier, Figures 4C-4H).

In reference to Claim 3, Schneier as modified above further discloses a third interface circuit communicating with a recording medium (see Schneier, Figure 4H, interface circuitry 406), a fifth bus, and a fourth interface circuit (see Schneier, Figures 4C-4H).

In reference to Claim 5, Schneier as modified above further discloses that the storage circuit stores private and public key data (see Schneier, column 11, lines 44-48), the public key encryption circuit verifies the integrity of signature data and creates signature data (see Schneier, column 10, lines 41-56; Stefik, column 51, lines 9-12; Peinado, column 2, line 65, for example), and the common key encryption circuit encrypts and decrypts content data and key data using a session key (Schneier, column 9, line 65-column 10, line 6; Stefik, column 42, lines 6-21).

In reference to Claim 7, Schneier as modified above further discloses a random number generating circuit (see Schneier, column 10, lines 57-67).

In reference to Claim 8, Schneier as modified above further discloses an external storage circuit (see Schneier, column 7, lines 57-60).

In reference to Claims 9 and 11, Schneier as modified above discloses everything as applied to Claim 8 above. Schneier as modified above further discloses that programs are executed from memory in a conventional manner (see Schneier, column 7, lines 60-61). However, neither Schneier, Christiano, Stefik, nor Peinado explicitly discloses a storage-circuit control circuit or a storage management circuit. Official notice is taken that it is well known in the computer arts to include a memory controller or memory management circuit, such as a DMA or MMU, in order to allow for the optimization of the use of memory. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the apparatus of Schneier, Christiano, Stefik, and Peinado by including a memory controller or manager, in order to optimize the use of memory, as is well known in the computer arts.

In reference to Claim 10, Schneier as modified above further discloses that the external bus is connected to a host processor (see Schneier, Figure 4C, where the I/O 312 is connected to external CPU 27).

In reference to Claim 15, Schneier as modified above further discloses further disclose a real time clock (see Schneier, column 11, line 46). Further, Schneier, Christiano, and Stefik disclose encrypting key data and control data (see Christiano, column 14, lines 23-28) and storing license key data (Christiano, column 14, lines 19-21).

In reference to Claim 16, Schneier as modified above further discloses further disclose that the storage circuit writes and erases data in units of blocks and also

discloses a write lock control circuit for controlling writing and erasing blocks of data (see Schneier, column 18, lines 39-43).

In reference to Claim 17, Schneier discloses an apparatus within a tamper-resistant circuit module (column 8, lines 17-27; column 11, lines 31-37) including a first bus (see Figures 4C-4H); an arithmetic processing circuit (Figure 4C, CPU 302); a storage circuit (Figure 4C, ROM 304); a second bus (see Figures 4C-4H); an interface circuit (see Figure 4C); an encryption processing circuit (Figure 4B-4C, encryption/decryption module 28; also column 11, lines 41-46); a hash value generating circuit that generates hash values of content data (column 9, lines 40-61; column 17, lines 46-50); a public key encryption circuit (column 10, lines 27-56) that creates signature data using hash values and verifies the integrity of the signature data (column 10, lines 41-56; column 9, lines 40-61); a common key encryption module (column 9, line 62-column 10, line 11); and an external bus interface circuit (Figure 4C, I/O 312). Schneier further discloses receiving an interrupt from an external circuit, performing processing, and reporting a result of the processing (column 11, lines 55-67). However, Schneier does not explicitly disclose determining a mode based on a handling policy and creating log data, nor does Schneier disclose creating usage control status data or controlling the use of the content data.

Christiano discloses determining a usage or purchase mode based on a usage license policy (column 6, line 60-column 7, line 30) and logging data (column 18, lines 53-61), where the log data includes a unique identifier of content data (column 18, lines

53-61), discount information (column 17, lines 35-54, and column 18, lines 53-61), and tracing information (column 18, lines 53-61, and column 6, line 60-column 7, line 46). Christiano further discloses creating usage control status data (column 10, lines 53-57) that includes a content identification (column 10, lines 27-33), the purchase mode (column 10, line 53-column 11, line 11), identification of a circuit module (column 10, lines 33-36), and a user identification (column 10, lines 53-57; column 6, lines 64-column 7, line 1; column 4, line 61-column 5, line 2). Christiano additionally discloses controlling use of content data (column 10, line 64- column 11, line 3) and a usage monitor that monitors the usage control policy and status data to ensure that content data is properly used based on a license (column 6, line 60-column 7, line 46; column 10, lines 53-57). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the apparatus of Schneier by including the usage policies and licensing as disclosed by Christiano, in order to provide a variety of options and flexibility in controlling usage of licensed data (see Christiano, column 3, lines 12-19).

Although Schneier and Christiano disclose a purchase mode (see Christiano, column 10, line 53-column 11, line 11), neither Schneier nor Christiano explicitly discloses that the purchase mode is determined from one or more purchase mode options, each having a different level of restriction imposed on a playback operation. Stefik discloses a system for controlling distribution and use of digital works that includes a plurality of purchase modes (see Stefik, column 17, line 63-column 26, line 35; more specifically, see column 17, line 64-column 18, line 6; column 19, lines 20-31,

describing limitations on number of copies, fees, and times; column 19, lines 46-57, where rights defining playing and printing of a work are described; column 21, lines 10-24, defining limitations on a number of copies to be made; see also column 43, line 45-column 50, line 14, defining various use scenarios) each having a different level of restriction imposed on a playback operation (see again column 17, line 63-column 26, line 35, for a variety of rights, and column 43, line 45-column 50, line 14, for a variety of use scenarios; see also column 36, lines 30-64, where limitations are checked for a playback operation). Stefik also discloses generating log data (column 34, lines 25-34), where the data can include a unique identifier of the content data (column 9, lines 56-66, and Figure 7), discount information (Stefik, column 23, line 44-column 25, line 8), and tracing information (column 48, lines 20-26), and the data can further indicate a result of the determined mode (see again column 17, line 63-column 26, line 35, and column 43, line 45-column 50, line 14, noting, in particular, for example, column 45, lines 33-47). Stefik additionally discloses a public key encryption module (column 26, line 65-column 27, line 9) that performs authentication (column 17, lines 36-47), creates signature data (column 51, lines 9-12), encrypts and decrypts data (column 26, line 65-column 27, line 29), and shares session key data (column 42, lines 6-21), and also discloses a common key encryption module (column 42, lines 6-21) that performs mutual authentication (column 17, lines 36-47) and encrypts and decrypts data with the session key (column 42, lines 6-21). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the apparatus of Schneier and Christiano by including a plurality of purchase modes each imposing

different restrictions on playback, in order to allow the owner of a digital work to attach to the work usage rights defining how the work may be used and/or distributed (see Stefik, column 3, lines 51-61).

Further, although Schneier, Christiano, and Stefik disclose a hash value generating circuit that generates a hash value of content data (Schneier, column 9, lines 40-61; column 17, lines 46-50), none of Schneier, Christiano, or Stefik explicitly discloses also generating a hash value of the key data and usage control policy data. Peinado discloses a system in which a license for digital content includes the content key and usage control policy data (column 2, lines 57-64) and that has a hash value generating circuit that generates a hash value of the content key and policy data and a public key encryption circuit that creates and verifies signature data using the hash (column 2, line 65; column 20, line 38-column 21, line 12). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify the apparatus of Schneier, Christiano, and Stefik, to also compute a hash and generate a signature based on the key and usage policy data, in order to maintain the integrity of those items and prevent alteration of the key or usage policy data and/or prevent unauthorized decryption of content (see Peinado, column 25, lines 53-59) as is well known in the art (see also Schneier, column 9, line 40-column 10, line 67).

10. Claims 18-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schneier in view of Christiano, Stefik, and Peinado as applied to claim 17 above, and further in view of Castor et al, US Patent 5590288.

In reference to Claims 18 and 19, Schneier as modified above discloses everything as applied to Claim 17 above. However, Schneier as modified above does not explicitly disclose reporting the result of processing by outputting an interrupt. Further, Schneier as modified above does not explicitly disclose that the external bus interface includes a common memory and that the external circuit obtains a result by polling.

Castor discloses a system which allows a computer to request another computer to execute a procedure (column 3, lines 38-42) including outputting an interrupt (column 12, lines 29-33). Castor further discloses a common memory (the buffer of column 12, lines 33-35) and polling an interface circuit to obtain a result (column 12, lines 35-47). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the apparatus of Schneier as modified above by including the interrupt, buffer, and polling of Castor, in order to increase reliability, lower cost, and allow easier upgrades in a distributed computing system (Castor, column 4, lines 11-21).

In reference to Claim 20, Schneier as modified above further discloses first status registers including flags (see Castor, column 12, lines 29-35).

In reference to Claim 21, Schneier as modified above further discloses storing and executing an interrupt program (see Castor, column 5, lines 49-51).

In reference to Claim 22, Schneier as modified above further discloses storing and executing a plurality of interrupt programs and subroutines (see Castor, column 5, lines 49-55).

11. Claim 57 is rejected under 35 U.S.C. 103(a) as being unpatentable over Christiano in view of Stefik and Peinado.

Christiano discloses a method including determining a usage or purchase mode based on a usage license policy (column 6, line 60-column 7, line 30); creating log data (column 18, lines 53-61) that includes a unique identifier of content data (column 18, lines 53-61), discount information (column 17, lines 35-54, and column 18, lines 53-61), and tracing information (column 18, lines 53-61, and column 6, line 60-column 7, line 46).; creating usage control status data (column 10, lines 53-57) that includes a content identification (column 10, lines 27-33), the purchase mode (column 10, line 53-column 11, line 11), identification of a circuit module (column 10, lines 33-36), and a user identification (column 10, lines 53-57; column 6, lines 64-column 7, line 1; column 4, line 61-column 5, line 2); monitoring usage control policy and status data to ensure that content data is properly used based on a license (column 6, line 60-column 7, line 46; column 10, lines 53-57); controlling use of content data (column 10, line 64- column 11, line 3); recording the content data (column 10, lines 62-64, where the product is used on a computer system, and therefore stored at least temporarily therein; see also column 6, lines 28-31, where various storage media are disclosed); performing authentication (column 11, lines 21-30; column 11, line 57-column 12, line 32); and encrypting key data and control data (column 14, lines 23-28). However, although Christiano discloses a purchase mode (see Christiano, column 10, line 53-column 11, line 11), Christiano does not explicitly disclose that the purchase mode is determined from one or more



purchase mode options, each having a different level of restriction imposed on a playback operation, nor does Christiano explicitly disclose creating a signature or sharing a session key.

Stefik discloses a method for controlling distribution and use of digital works that includes a plurality of purchase modes (see Stefik, column 17, line 63-column 26, line 35; more specifically, see column 17, line 64-column 18, line 6; column 19, lines 20-31, describing limitations on number of copies, fees, and times; column 19, lines 46-57, where rights defining playing and printing of a work are described; column 21, lines 10-24, defining limitations on a number of copies to be made; see also column 43, line 45-column 50, line 14, defining various use scenarios) each having a different level of restriction imposed on a playback operation (see again column 17, line 63-column 26, line 35, for a variety of rights, and column 43, line 45-column 50, line 14, for a variety of use scenarios; see also column 36, lines 30-64, where limitations are checked for a playback operation). Stefik also discloses generating log data (column 34, lines 25-34), where the data can include a unique identifier of the content data (column 9, lines 56-66, and Figure 7), discount information (Stefik, column 23, line 44-column 25, line 8), and tracing information (column 48, lines 20-26), and the data can further indicate a result of the determined mode (see again column 17, line 63-column 26, line 35, and column 43, line 45-column 50, line 14, noting, in particular, for example, column 45, lines 33-47). Stefik additionally discloses performing authentication (column 17, lines 36-47), creating signature data (column 51, lines 9-12), sharing session key data (column 42, lines 6-21), and encrypting data using the session key data (column 42,

lines 6-21). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of Christiano by including a plurality of purchase modes each imposing different restrictions on playback, in order to allow the owner of a digital work to attach to the work usage rights defining how the work may be used and/or distributed (see Stefik, column 3, lines 51-61).

Further, although Christiano and Stefik generally disclose signature data (Stefik, column 51, lines 9-12), neither Christiano nor Stefik explicitly discloses generating a hash value of the content data, key data, and usage control policy data, or creating signature data using the hash values and then verifying the integrity of the signature data. Peinado discloses a method in which a license for digital content includes the content key and usage control policy data (column 2, lines 57-64), and that the method includes generating a hash value of the content key and policy data and creating and verifying signature data using the hash (column 2, line 65; column 20, line 38-column 21, line 12). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify the method of Christiano and Stefik to compute a hash and generate a signature based on the key and usage policy data, in order to maintain the integrity of those items and prevent alteration of the key or usage policy data and/or prevent unauthorized decryption of content (see Peinado, column 25, lines 53-59). It would further have been obvious to include computation of a hash and generation of a signature of the content data itself, in order to prevent alteration of the content data itself (see Peinado, column 25, lines 53-59) as is well known in the art.

***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Zachary A. Davis whose telephone number is (571)272-3870. The examiner can normally be reached on weekdays 8:30-6:00, alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Emmanuel Moise can be reached on (571) 272-3865. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/ZAD/  
Examiner, Art Unit 2137

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/Emmanuel L. Moise/

Supervisory Patent Examiner, Art Unit 2137